

UNITED STATES HOUSE OF REPRESENTATIVES 2018 FINANCIAL DISCLOSURE STATEMENT

Form A
For Use by Members, Officers, and Employees

Name: Douglas L. Lamborn Daytime Telephone: 202-225-4422

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RECEIVED
2019 MAY 17 3:36
LEGISLATIVE RESOURCE CENTER
OFFICE USE ONLY
A \$200 penalty shall be assessed against any individual who files more than 30 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>CO</u> District: <u>5</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input checked="" type="checkbox"/> 2018 Annual (Due: May 15, 2019)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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[illegible]

SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction			
SP, DC, JT	ASSET NAME	None	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BUND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	<i>SP - line 1 trade margin acct.</i>																																				
	SP Net App, Inc. stock				X															X																P.S.	
	SP Net App, Inc. options - 3 Feb calls at 63.5																			X																S	
	SP Net App, Inc. options - 3 Mar calls at 60																			X																S	
	SP Net App, Inc. options - 3 Apr calls at 62.5																			X																S	
	SP Net App, Inc. options - 3 June calls at 67.5																			X																S	
	SP Net App, Inc. options - 3 July calls at 77.5																			X																S	
	SP Net App, Inc. options - 3 Aug calls at 82																			X																S	
	SP Net App, Inc. options - 3 Aug calls at 81.5																			X																S	

SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
	\$1,000,001-\$5,000,000																																			
	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
SP	Net App, Inc. options - 3 Sept calls at 84	X																	X				X													5
SP	Net App, Inc. options - 3 Oct calls at 85	X																	X				X													5
SP	Net App, Inc. options - 3 Nov. calls at 84	X																	X				X													5
SP	Net App, Inc. options - 3 Jan calls at 59	X																	X				X													5

SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction				
SP, DC, JT	ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
	<u>Investable IRA</u>		None																																			
	Net App, Inc. stock																																					
	Net App, Inc. option - 1 Feb call at 58																																					
	Net App, Inc. option - 1 June call at 67.5																																					
	Net App, Inc. option - 1 Aug. call at 81.5																																					
	Net App, Inc. option - 1 Oct. call at 85																																					
	Net App, Inc. option - 1 Nov. call at 84																																					

SCHEDULE A - ASSETS & "UNEARNED INCOME"

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction	
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
	<i>SP - Ameritrade TRA</i>																																		
	SP Net App Inc. stock																																		
	SP Net App, Inc. option - 1 Feb call at 58																																		
	SP Net App, Inc. option - 1 June call at 67.5																																		
	SP Net App, Inc. option - 1 Aug. call at 81.5																																		
	SP Net App, Inc. option - 1 Oct call at 85																																		
	SP Net App, Inc. option - 1 Nov call at 84																																		

SCHEDULE B - TRANSACTIONS

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SP, DC, JT		Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MM/DD/YY) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
			Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example	Mega Corp. Stock			X		X	3/9/18		X									
SP		Net App, Inc. stock		X				1/19/18		X									
SP		Net App, Inc. stock	X					1/22/18		X									
SP		Net App, Inc. stock		X				3/19/18		X									
SP		Net App, Inc. stock	X					3/22/18		X									
SP		Net App, Inc. stock		X				4/23/18		X									
SP		Net App, Inc. stock	X					5/1/18		X									
SP		Net App, Inc. stock		X				6/4/18		X									
SP		Net App, Inc. stock	X					6/18/18		X									
SP		Net App, Inc. stock		X				7/9/18		X									
SP		Net App, Inc. stock	X					7/18/18		X									
SP		Net App, Inc. stock		X				8/13/18		X									
SP		Net App, Inc. stock	X					8/13/18		X									
SP		Net App, Inc. stock		X				8/27/18		X									
SP		Net App, Inc. stock	X					9/5/18		X									
SP		Net App, Inc. stock		X				9/24/18		X									
SP		Net App, Inc. stock	X					9/28/18		X									

SCHEDULE B - TRANSACTIONS

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.

Capital Gains: If a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box, unless it was an asset in a tax-deferred account, and disclose the capital gain income on Schedule A.

* Column K is for assets solely held by your spouse or dependent child.

SP, DC, JT	Asset	Type of Transaction	Check Box if Capital Gain Exceeded \$200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
					A	B	C	D	E	F	G	H	I	J	K
	Example Mega Corp. Stock														
	Net App, Inc. stock	X		2/6/18	X										
	Net App, Inc. stock	X		3/19/18	X										
	Net App, Inc. stock	X		3/22/18	X										
	Net App, Inc. stock	X		4/23/18	X										
	Net App, Inc. stock	X		5/1/18	X										
	Net App, Inc. stock	X		6/4/18	X										
	Net App, Inc. stock	X		6/18/18	X										
	Net App, Inc. stock	X		7/9/18	X										
	Net App, Inc. stock	X		7/18/18	X										
	Net App, Inc. stock	X		8/13/18	X										
	Net App, Inc. stock	X		8/13/18	X										
	Net App, Inc. stock	X		8/27/18	X										
	Net App, Inc. stock	X		9/5/18	X										
	Net App, Inc. stock	X		9/29/18	X										
	Net App, Inc. stock	X		9/28/18	X										

SCHEDULE B - TRANSACTIONS

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Report any purchase, sale, or exchange transactions that exceeded \$1,000 in the reporting period of any security or real property held by you, your spouse, or your dependent child for investment or the production of income. Include transactions that resulted in a capital loss. Provide a brief description of an exchange transaction. Exclude transactions between you, your spouse, or dependent children, or the purchase or sale of your personal residence, unless it generated rental income. If only a portion of an asset is sold, please choose "partial sale" as the type of transaction.		Type of Transaction				Date	Amount of Transaction										
SP, DC, JT	Asset	Purchase	Sale	Partial Sale	Exchange	(MO/DAYR) or Quarterly, Monthly, or Bi- weekly, if applicable	A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example Mega Corp. Stock			X		3/9/18		X									
SP	Net App, Inc. stock	X				2/6/18	X										
SP	Net App, Inc. stock		X			3/14/18	X										
SP	Net App, Inc. stock	X				3/22/18	X										
SP	Net App, Inc. stock		X			4/23/18	X										
SP	Net App, Inc. stock	X				5/1/18	X										
SP	Net App, Inc. stock		X			6/1/18	X										
SP	Net App, Inc. stock	X				6/18/18	X										
SP	Net App, Inc. stock		X			7/9/18	X										
SP	Net App, Inc. stock	X				7/18/18	X										
SP	Net App, Inc. stock		X			8/13/18	X										
SP	Net App, Inc. stock	X				8/13/18	X										
SP	Net App, Inc. stock		X			8/27/18	X										
SP	Net App, Inc. stock	X				9/5/18	X										
SP	Net App, Inc. stock		X			9/24/18	X										
SP	Net App, Inc. stock	X				9/28/18	X										

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Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Name of Organization

Use additional sheets if more space is required.

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EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (FGDA, 5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

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